PTO/SB/05 (06-03)
Approved for use through 07/31/2003. OMB 0651-0032
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|--|----------------|---|--|--|--|--|--|--|--|
| UTILITY | | ney Docket No. PS735 | | | | | | | |
| | First I | nventor Craig A. ROSEN | | | | | | | |
| PATENT APPLICATION TRANSMITTAL | Title | 83 Human Secreted Proteins | | | | | | | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Expre | Express Mail Label No. | | | | | | | |
| APPLICATION ELEMENTS MS Patent Application ADDRESS TO: Commissioner for Patents | | | | | | | | | |
| See MPEP chapter 600 concerning utility patent application co. | ntents. | ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | | |
| Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | |
| 2. Applicant claims small entity status. See 37 CFR 1.27. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | | | | |
| 3. X Specification [Total Pages 65 | 0 1 | a. X Computer Readable Form (CRF) | | | | | | | |
| (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications | | b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. X Paper | | | | | | | |
| Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, | | c. X Statements verifying identity of above copies | | | | | | | |
| or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention | | ACCOMPANYING APPLICATIONS PARTS | | | | | | | |
| Brief Description of the Drawings (if filed) Detailed Description | | 9. Assignment Papers (cover sheet & document(s)) 10 37 CFR 3.73(b) Statement Power of | | | | | | | |
| - Claim(s) - Abstract of the Disclosure | 1_ | (when there is an assignee) Attorney | | | | | | | |
| 4. Drawing(s) (35 U.S.C. 113) Total Sheets | إ | 11. English Translation Document (if applicable) Information Disclosure Copies of IDS | | | | | | | |
| 5. Oath or Declaration [Total Sheets Newly executed (original or copy) | , | 12. Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment | | | | | | | |
| Copy from a prior application (37 CFR 1.63(d)) | | 14 Return Receipt and Serial Number Postcard (MPEP 503) | | | | | | | |
| i. DELETION OF INVENTOR(S) | | (Should be specifically itemized) Certified Copy of Priority Document(s) | | | | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, | | (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). | | | | | | | |
| see 37 CFR 1.63(d)(2) and 1.33(b). | | Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: | | | | | | | |
| 6. X Application Data Sheet. See 37 CFR 1.76 | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | | | | |
| X Continuation Divisional Continuation-in-part (CIP) of prior application No.: PCT/US02/05064 | | | | | | | | | |
| Prior application information: Examiner | | Art Unit: | | | | | | | |
| | - | re of the prior application, from which an oath or declaration is supplied under tinuation or divisional application and is hereby incorporated by reference. | | | | | | | |
| 19. COI | RRESPO | ONDENCE ADDRESS | | | | | | | |
| X Customer Number: | | 22195 OR Correspondence address below | | | | | | | |
| Name | | | | | | | | | |
| Address | - | | | | | | | | |
| City St. | ate | Zip Code | | | | | | | |
| Country Te | lephone | Fax | | | | | | | |
| Name (Print/Type) Jan/et M. Martineau | | Registration No. (Attorney/Agent) 46,903 | | | | | | | |
| Signature W//////////////////////////////////// | asl | Date August 21, 2003 | | | | | | | |
| The state of the s | | | | | | | | | |

August 21, 2003

PTO/SB/17 (05-03)
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| FEE TO A MODALTTAI | L | Complete if Known | | | | | | | |
|--|-----------------------------|-------------------------------------|------------|---------|------------|--|--|--|--|
| FEE TRANSMITTAL | | Application Number Not Yet Assigned | | | | | | | |
| for FY 2003 | Filing Date | | | e | | Concurrently Herewith | | | |
| | Ī | First Named Inventor | | | | ntor Craig A. Rosen | | | |
| Effective 01/01/2003, Patent fees are subject to annual revision. | | Exami | ner | Name | е | Not Yet Assigned | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | Art Unit | | | | N/A | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 906.00 | | Attorney Docket No. PS735 | | | | | | | |
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | | | | |
| Check Credit Money Other None | 3. ADDITIONAL FEES | | | | | | | | |
| X Deposit Account | | | | | | | | | |
| Deposit | | Entity | | all En | | _ | | | |
| Account Number 08-3425 | Fee Code | Fee (\$) | Coc | | ee (\$) | Fee Description Fee Paid | | | |
| Deposit Account Human Genome Sciences, Inc. | 1051 | 130 | 205 | 51 | | Surcharge – late filing fee or oath | | | |
| Name The Director is hereby authorized to: (check all that apply) | 1052 | 50 | 205 | 52 | | Surcharge – late provisional filing fee or cover sheet. | | | |
| X Charge fee(s) indicated below X Credit any overpayments | 1053 | 130 | 105 | | | Non-English specification | | | |
| X Charge any additional fee(s) during the pendency of this application | 1812 | 2,520 | 181 | 2 2, | ,520 | For filing a request for ex parte reexamination | | | |
| Charge fee(s) indicated below, except for the filling fee | 1804 | 920* | 180 |)4 9 | 20* | Requesting publication of SIR prior to Examiner action | | | |
| to the above-identified deposit account. | 1805 | 1,840* | 180 |)5 1, | 840* | Requesting publication of SIR after Examiner action | | | |
| FEE CALCULATION | 1251 | 110 | 225 | 51 | 55 | Extension for reply within first month | | | |
| 1. BASIC FILING FEE | 1252 | 410 | 225 | 52 2 | 205 | Extension for reply within second month | | | |
| Large Entity Small Entity | 1253 | 930 | 225 | 53 4 | 465 | Extension for reply within third month | | | |
| Fee Fee Fee Fee Fee Paid Code (\$) Code (\$) | 1254 | 1,450 | 225 | 54 7 | 725 | Extension for reply within fourth month | | | |
| 1001 750 2001 375 Utility filing fee 750.00 | 1255 | 1,970 | 225 | 55 9 | 985 | Extension for reply within fifth month | | | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 240 |)1 ' | 160 | Notice of Appeal | | | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 240 | | 160 | Filing a brief in support of an appeal | | | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 240 | | 140 | Request for oral hearing | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 145 | | | · · · · · · · · · · · · · · · · · · · | | | |
| SUBTOTAL (1) (\$) 750.00 | 1452 | 110 1,300 | 245 245 | | 55 650 | Petition to revive – unavoidable Petition to revive - unintentional | | | |
| | 1453 1501 | 1,300 | 250 | | 650 | Utility issue fee (or reissue) | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from | | 470 | 250 | | 235 | | | | |
| Claims below Fee Paid | 1502 | | | | | Design issue fee | | | |
| Total Claims 24 -20** = 4 x 18.00 = 72.00 | 1503 1460 | 630 130 | 250 146 | | 315 130 | Plant issue fee Petitions to the Commissioner | | | |
| Claims 4 -3 = 1 x 84.00 = 84.00 | | | | | | | | | |
| Multiple Dependent | 1807 | 50 | 180 | | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| Large Entity Small Entity | 1806 | 180 | 180 | | 180 | Submission of Information Disclosure Stmt Recording each patent assignment per | | | |
| Fee Fee Fee Fee Fee Fee Description | 8021 | 40 | 802 | 21 | 40 | property (times number of properties) | | | |
| 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 | 1809 | 750 | 280 | 09 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 750 | 28 | 10 | 375 | For each additional invention to be examined (37CFR 1.129(b)) | | | |
| 1204 84 2204 42 ** Reissue independent claims over original patent | 1801 | 750 | 280 | 01 | 375 | Request for Continued Examination (RCE) | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | 1802 | 900 | 180 | 02 | 900 | Request for expedited examination of a design application | | | |
| and over original patent | Other fee (specify) | | | | | | | | |
| SUBTOTAL (2) (\$) 156.00 | *Red | uced by I | Basi | c Filin | g Fee | e Paid SUBTOTAL (3) (\$) 0.00 | | | |
| **or number previously paid, if greater; For Reissues, see above | | | | | | | | | |
| SUBMITTED BY | | | | | | Complete (if applicable) | | | |
| Name (Print/Type) Janet M. Martineau | | tration No ey/Agent | | 46,9 | 03 | Telephone (301) 315-2723 | | | |

Signature